

RECEIVED

Page 1 of 2

OCT 3 2000



UNITED STATES PATENT AND TRADEMARK OFFICE

BROMBERG & SUNSTEIN

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/607,007	06/29/2000	Thomas P. Hardjono	2204/A46

Jeffrey T Klayman
Bromberg & Sunstein LLP
125 Summer Street
Boston, MA 02110



FORMALITIES LETTER



OC000000005436621

Date Mailed: 09/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Repln. Ref: 08/16/2001 HTEKLEMI 0013585800
DAH:194972 Name/Number:09607007
FC: 704 \$168.00 CR

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3078.
 - \$2376 for 132 total claims over 20.
 - \$702 for 9 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3898.

Adjustment date: 08/16/2001 HTEKLEMI
02/06/2001 SDENBOR1 00000020 09607007
01 FC:101
02 FC:105
03 FC:102

710.00 OP
-130.00 OP
-720.00 OP

A copy of this notice MUST be returned with the reply.

710.00 OP
130.00 OP
720.00 OP
2376.00 OP

08/16/2001 HTEKLEMI 00000003 09607007

01 FC:101
02 FC:102
Customer Service Center
Initial Patent Examination Division

(703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
2204/A46

In Re Application Of: **Hardjono et al.**

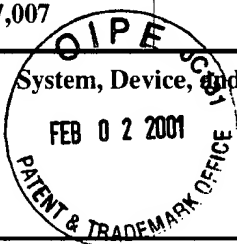
Serial No.
09/607,007

Filing Date
June 29, 2000

Examiner
Note yet assigned

Group Art Unit
2756

Invention: **System, Device, and Method for Controlling Access in a Multicast Communication Network**



TO THE ~~COMMISSIONER~~ COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
September 28, 2000
Date

Enclosed herewith for filing are the following:

- ☐ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

09/607,007 00000020 09607007

890.00 DP

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
2204/A46

In Re Application Of: **Hardjono et al.**

Serial No.
09/607,007

Filing Date
June 29, 2000

Examiner
Note yet assigned

Group Art Unit
2756

Invention: **System, Device, and Method for Controlling Access in a Multicast Communication Network**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$710.00

☐ Design application filing fee _____

☒ Total number of independent claims = 12 \$720.00

☒ Total number of claims = 152 \$2,376.00

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the invention _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees \$3,936.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☒ Three months ☐ Four months ☐ Five months

from: November 29, 2000 until: February 28, 2001
Date *Date*

Total time extension fees \$890.00

Total fees due \$4,826.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
2204/A46

In Re Application Of: **Hardjono et al.**

Serial No.
09/607,007

Filing Date
June 29, 2000

Examiner
Note yet assigned

Group Art Unit
2756

Invention: **System, Device, and Method for Controlling Access in a Multicast Communication Network**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$4,826** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-4972**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **19-4972**
A duplicate copy of this sheet is enclosed.


Signature

Dated: **January 31, 2001**

Jeffrey T. Klayman
Registration No. 39,250
BROMBERG & SUNSTEIN LLP
125 Summer Street
Boston, MA 0211-1618



I certify that this document and fee is being deposited on **1/31/01** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the ~~Assistant~~ Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Jeffrey T. Klayman

Typed or Printed Name of Person Mailing Correspondence

CC: